



International Journal of the Commons

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## Author Guidelines for Literature Citation

### LITERATURE CITED

The list of Literature Cited should be included after the final section of the main article body. A blank line should be inserted between single-spaced entries in the list.

#### *Software to handle references*

To save both the author and the editors a lot of work it is strongly recommended that standard software for references and reference management be used. In the not too distant future, it will become mandatory.

If reference software is used, the editors ask that the correct in text citation and output style is chosen. In EndNote the correct style is called "Chicago 15th B".

If reference software is not used, be sure to do the following before submitting the manuscript:

- Check each citation in the text against the Literature Cited to ensure that they match exactly
- Delete citations from the list if they are not actually cited in the text of the article
- Make sure the list conforms in sequencing and punctuation to that found in our list of examples [Examples of Chicago Manual of Style Citations](#) for science and social science.
- Spell out all journal titles completely
- In the titles of articles, capitalization of the common names of organisms and the spellings of all words should agree exactly with that used in the original publication.

#### *Additional guidelines:*

- Provide the publisher's name and location when you cite symposia or conference proceedings.
- Distinguish between the conference and the publication dates if both are given.
- Do not list abstracts or unpublished material in the Literature Cited. They may be listed in the text as personal observations (by an author of the present paper), personal communications (from others), or unpublished *x*, where *x* = data, manuscript, or report
- Provide author names and initials for all unpublished work and abstracts.
- When possible, include URLs for articles available online through library subscription or individual journal subscription.
- URLs should not be included for articles that are posted on an author's website, unless it is a software or language program that is not available by any other means and is integral to the paper.

#### *Please, avoid the more common errors:*

- Last name appears first for first author; initial(s) first for subsequent authors.
- Insert spaces between initials in author names.
- Periods and commas associated with bold or italicized words or phrases should also be bold or italicized.
- In journal citations, there is no space between the colon and the page range.
- Books and conference proceedings must include publisher information: name, city, state or province (if pertinent), country.
- Conference proceedings must include the city, state/province, country, and year in which the conference was held. Conference dates are typically included, although not required. The editor(s) of the Proceedings should be included, if possible.
- Journal articles in press should include the volume number of the journal even if the page range is not known.



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## LITERATURE CITED

### Examples based on the Chicago Manual of Style Online

#### Author-Date citation style for social science and the sciences

[\[ PDF version of these Guidelines \]](#)

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##### Introduction

This guide is based on the [The Chicago Manual of Style Online](#). (The Chicago Manual of Style, 15th ed. rev. University of Chicago Press, 2003). This style comprises two versions, one for humanities and one for social science and science. If you use reference software including both, be sure to choose the author-date system commonly used in social science and science. Examples are shown only for the **Author-Date** style of citation. The Chicago Manual of Style is a subscription service.

**Bibliography** items are listed alphabetically at the end of the article in the "Literature Cited" section. Items are referred to in the body of the paper using the **In-Text** style.

Numbers in brackets [ ] refer to the section of the *Chicago Manual of Style* which describe the specific citation style. Consult these pages for more information.

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##### Book - (one author)

[17.27]

###### Bibliography:

Netting, Robert McC. 1981. *Balancing on an Alp. Ecological change and continuity in a Swiss mountain community*. Cambridge: Cambridge University Press.

**In-Text:** (Netting 1981)

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##### Specifying the author's name

[17.20]

###### Comment on how to specify the name of an author

Authors' names are normally given as they appear on the title pages of their books. Certain adjustments can be made to assist correct identification. First names may be given in full in place of initials. If an author uses his or her given name in one cited book and initials in another (e.g., Michael D. McGinnis versus M. D. McGinnis), the same form, preferably the fuller one, should be used in all references to that author. To assist alphabetization, middle initials should be given wherever known. Full names should not be supplied for authors who always use initials only. Degrees and affiliations following names on a title page are omitted.

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##### Book - (two to three authors)

[17.27]

###### Bibliography:

Lynch, Owen J., and Emily Harwell. 2002. *Whose Natural Resources? Whose Common Good? Towards a New Paradigm of Environmental Justice and the National Interest in Indonesia*. Jakarta: Center for International Environmental Law (CIEL).

**In-Text:** (Lynch and Harwell 2002)

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##### Edited book - (three editors)

[17.29]

###### Bibliography:

Moor, Martina D., Leigh Shaw-Taylor, and Paul Warde, eds. 2002. *The Management of Common Land in the North West Europe, ca. 1500-1850*. Turnhout: Brepols.

**In-Text:** (Moor, Shaw-Taylor, and Warde 2002)

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**Edited book - (more than three editors)**

[17.29]

**Bibliography:**

Ostrom, Elinor, Thomas Dietz, Nives Dolšak, Paul C. Stern, Susan Stronich, and Elke U. Weber, eds. 2002. *The Drama of the Commons*. Washington, DC: National Academy Press.

**In-Text** (Ostrom et al. 2002)

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**Specifying place of publication**

[17.100]

**Comment on how to specify place of publication**

See example above. If the city of publication may be confused with another city, the abbreviation of the state, province, or country is added. Use standard abbreviations from a dictionary. When the publisher's name includes the state name, the abbreviation is not needed.

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**Chapter in edited book**

[17.69]

**Bibliography:**

Oakerson, Ronald J. 1992. Analyzing the Commons: A Framework. In *Making the Commons Work*, ed. Daniel W. Bromley, 41-59. San Francisco: ICS Press.

**In text:** (Oakerson 1992) or (Oakerson 1992, 41-59)

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**Two dates for a publication**

[17.127]

Ostrom, V., C. M. Tiebout, and R. Warren. [1961] 1999. The organization of government in metropolitan areas: A theoretical inquiry. In *Polycentricity and Local Public Economies: Readings from the Workshop in Political Theory and Policy Analysis*, ed. M. D. McGinnis, 31-51. Ann Arbor: University of Michigan Press.

**In text:** (Ostrom et al. [1961] 1999)

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**Date of publication**

[17.127][17.121][17.167]

**Comment on date of publication**

See example above. Usually the first date of publication will be enclosed in brackets. This also determines its placement in the reference list.

**Forthcoming**

When a book is under contract with a publisher or a journal article accepted for publication in a journal, but the date of publication is not yet known, forthcoming is used in place of the date and page numbers.

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**Theses and dissertations**

[17.214]

**Bibliography:**

Agrawal, Arun. 1992. Risk, Resources, and Politics: Studies in Institutions and Resource Use from India. PhD Diss., Department of Political Science, Duke University.

**In-Text:** (Agrawal 1992)

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**Book - (corporate or organization author)**

[17.47]

**Bibliography:**

Millennium Ecosystem Assessment. 2005. *Ecosystems and human well-being. Volume 1 Current State and Trends*. London: Island Press.

**In-Text:** (Millennium Ecosystem Assessment 2005)

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**Journal Article - (one author)**

[17.156] [17.168]

**Bibliography:**

Hohfeld, W. N. 1917. Fundamental legal conceptions as applied in judicial reasoning. *Yale Law Journal* 26:710-770.

**In-Text:** (Hohfeld 1917, 750) or (Hohfeld 1917)

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**References to particular pages in a book or journal article**

[17.131]

**Comment on references to particular pages in a book or journal article**

References should be done as shown above. Avoid using "ff." and "passim". Only when referring to a section for which no final number can usefully be given should ff. ("and the following pages, paragraphs, etc.") be resorted to. Instead of the singular f., the subsequent number should be used (e.g., "140-41", not "140f."). Similarly, passim ("here and there") is discouraged. When used, ff. has no space between it and the preceding number and is followed by a period. Passim, being a complete word, takes no period. Neither is italicized in notes.

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**Journal Article - (two authors)**

[17.168]

**Bibliography:**

Hess, C. and E. Ostrom. 2003. Ideas, Artefacts, and Facilities: Information as a Common-Pool Resource. *Law and Contemporary Problems* 66:111-145.

**In-Text:** (Hess and Ostrom 2003, 130) or (Hess and Ostrom 2003)

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**Multiple references**

[16.119]

**Comment on multiple references**

Two or more references in a single parenthetical citation are separated by semicolons: (Hess and Ostrom 1989; Hohfeld 1917; Millennium Ecosystem Assessment 2005) Additional works by the same author(s) are given by date only, separated by commas except where page numbers are required.

(Ostrom 1990, 2005; Berkes 1989a, 1989b) (McCay 1981, 358; 1998, 112)

Berkes, F. ed. 1989a. *Common Property Resources. Ecology and Community-Based Sustainable Development*. London: Belhaven Press.

Berkes, F. 1989b. Co-management and the James Bay Agreement. In *Co-operative Management of Local Fisheries*, ed. E.Pinkerton, 189-208. Vancouver: University of British Columbia Press.

McCay, Bonnie J. 1981. Optimal Foragers or Political Actors: Ecological Analyses of a New Jersey Fishery. *American Ethnologist* 8(2):356-382.

McCay, Bonnie J. 1998. Oyster Wars and the Public Trust: Property, Law and Ecology in New Jersey History. Tucson: University of Arizona Press.

Ostrom, Elinor. 1990. *Governing the Commons: The Evolution of Institutions for Collective Action*. Cambridge: Cambridge University Press.

Ostrom, Elinor. 2005. *Understanding Institutional Diversity*. Princeton, NJ: Princeton University Press.

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**Newspaper Article**

[17.188][17.192]

**Bibliography:**

[May be omitted in Bibliography. Citation is made in running text style as shown in the In Text examples below.]

or

The Times. 2006. Uncertainty and distrust cast a blight on promised land reform. September 4.

or

The Times Online. 2006. Uncertainty and distrust cast a blight on promised land reform. September 4. <http://www.timesonline.co.uk/tol/news/world/article627370.ece> (accessed April 26, 2007).

#### In-Text:

"An article on land reform in South Africa (*The Times*, September 4, 2006), describe ..."

or

"An article on land reform in South Africa (*The Times Online*, September 4, 2006, <http://www.timesonline.co.uk/tol/news/world/article627370.ece>, accessed April 26, 2007), describe ..."

#### Personal communications, unpublished data, etc.

[17.209]

##### Comment on personal communications, unpublished data, etc.

In a parenthetical citation, the terms personal communication (or pers. comm.), unpublished data, and the like are used after the name(s) of the person(s) concerned, following a comma. Reference-list entries are unneeded. The abbreviation et al. should be avoided in such citations.

#### Encyclopedia Article

[17.188]

##### Bibliography:

[May be omitted in Bibliography. Citation is made in running text style as shown in the In Text examples below.]

**In-Text:** "In his article on Common Law Systems in the first edition of the *International Encyclopedia of the Social Sciences*, Edward McWhinney noted that..."

#### Electronic Journal Article

[17.12] [17.359]

##### Bibliography:

Berkes, F. and D. Jolly. 2001. Adapting to Climate Change: Social-Ecological Resilience in a Canadian Western Arctic Community. *Conservation Ecology* 5(2)18.  
<http://www.consecol.org/vol5/iss2/art18/>.

**In-Text:** (Berkes and Jolly 2001, 12) or (Berkes and Jolly 2001)

#### Internet date of access and facts of publication

[17.143]

##### Comment on the need for date of access and the full facts of publication:

Date for access should be given if the stability of the URL is in doubt. In any case a complete Author-Date reference is needed besides the URL. As far as they can be determined, the full facts of publication should be recorded. The URL is a vulnerable element of a citation. If the URL should become invalid, readers could presumably find the electronic text by conducting a search for the stated title and author.

#### Comment: References to printed public documents

[17.293 and 17.294]

References to printed public documents should include the elements needed to find the work in a library catalogue, including some or all of the following:

- Country, state, city, county, or other government division issuing the document
- Legislative body, executive department, court bureau, board, commission, or committee
- Subsidiary divisions, regional offices, and so forth
- Title, if any, of the document or collection
- Individual author, editor, or compiler, if given
- Report number or other identifying information
- Publisher, if different from the issuing body
- Date
- Page, if relevant

The order in which elements are listed may differ from work to work, according to the subject matter. Discretion and common sense should dictate how much information is necessary for a reader to locate the material and, if both notes and a bibliography are used, which elements may be omitted from the notes.

## Article in Electronic Encyclopedia

[17.239]

### Bibliography:

Berge, Erling and Amy R. Poteete. 2004. Management of Non-Arable Rural Land, Including Forests, Grasslands, and Shrub-lands. In *Land Use, Land Cover and Soil Sciences*, ed. by Willy H. Verheye, in *Encyclopedia of Life Support Systems (EOLSS)*, developed under the Auspices of the UNESCO. Oxford: EOLSS Publishers. <http://www.eolss.net/> (accessed March 19, 2007).

**In-Text:** (Berge and Poteete 2004)

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## E-Book

[17.143]

### Bibliography:

Malthus, Thomas. 1798. An essay on the principle of population, as it affects the future improvement of society, with remarks on the speculations of Mr. Godwin, M. Condorcet, and other writers. Adelaide: eBooks@Adelaide, 2006. <http://etext.library.adelaide.edu.au/m/malthus/thomas/> (accessed April 26, 2007).

**In-Text:** (Malthus 1798)

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## Web Site

[17.356]

[May be omitted from the Bibliography. Citation is made in running text style as shown in the In Text examples below.]

### Bibliography:

The Commission on Legal Empowerment of the Poor.  
*Interview with Shirin Ebadi*.  
<http://legalempowerment.undp.org/> (accessed April 26, 2007).

### In-Text:

[On its Web site, The Commission on Legal Empowerment of the Poor feature an interview with Shirin Ebadi. She says . . .]

or more formally

(The Commission on Legal Empowerment of the Poor)

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## URL and acces date

[17.235][17.12][17.187][17.237]

### Comment on the use of URLs and access dates

It is always necessary to include information beyond the URL. If only a URL is cited and that URL changes or becomes obsolete, the citation will be useless. The URL tells where a source is or at least was located; a complete citation must also indicate what a source is.

Access dates in online source citations are of limited value, since previous versions will often be unavailable to readers. Chicago therefore does not generally recommend including them in a published citation. For sources likely to have substantive updates, however, or in time-sensitive fields such as law where even small corrections may be significant, the date of the author's last visit to the site may usefully be added.

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## Other Types of Citations

For other types of citations, see: *The Chicago Manual of Style Online*  
<http://www.chicagomanualofstyle.org/home.html>

The Chicago Manual of Style is a subscription service. If you or your institution do not have access to this service, or if you have questions of any kind, please contact [Erling Berge](#) for advice.

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